

UNIVERSITA' CATTOLICA DEL SACRO CUORE MILANO

Dottorato di ricerca in

"Persona, sviluppo, apprendimento.

Prospettive epistemologiche, teoriche e applicative"

ciclo XX S.S.D: M-PSI/04

THEORY OF MIND AND EMOTION: STUDIES ON SCHOOL AGE CHILDREN

Coordinatore: Ch.ma Prof.ssa Antonella Marchetti

Tutor: Ch.ma Prof.ssa Olga Liverta Sempio

Tesi di Dottorato di: Giulia Cavalli

Matricola: 3380090

Anno Accademico 2006/2007

Contents

ACNOWLEDGMENTS	1
Introduction	2
CHAPTER 1. THEORY OF MIND: AN OVERVIEW	5
1.1. What is Theory of Mind?	6
1.1.1. Theories of ToM	8
1.1.2.What ahout emotions in ToM theories?	
1.1.3. ToM and neuroscience	13
1.2. Why to study Theory of Mind?	15
1.2.1. Social functioning	16
1.2.2. Emotional functioning	18
1.3. The development of mental states understanding	20
1.3.1. Infants	20
1.3.2. Toddlers	25
1.3.3. School age children	27
1.4. How to study Theory of Mind?	29
CHAPTER 2. THE VOICE TEST: A NEW ADVANCED THEORY OF I	MIND TASK FOR
ITALIAN SCHOOL AGE CHILDREN	32
2.1. Introduction: Why to create a new instrument?	36
2.1.1. Theory of Mind tasks based on perceptive cues	39
2.2. Phase 1: Construction of the Voice Test	50
2.2.1. Preparation of the stimuli	51
2.2.2. Item reduction and administration procedure	53
2.2.3. Further item reduction: the final version of the test	57
2.2.4. Conclusion	63
2.3. Phase 2: Validation of the Voice Test	65
2.3.1. Method	66
2.3.2. Results	69
2.3.3. Discussion and conclusion	74
2.4 Phase 3. Standardization of the Voice Test	75

2.4.1. Method
2.4.2. Results
2.4.3. Discussion and conclusion84
2.5. Further analysis on the Voice Test reliability85
2.5.1. Method85
2.5.2. Results87
2.5.3. Discussion and conclusion90
2.6. Conclusion: Is the Voice Test a suitable ToM measure for school age children?91
CHAPTER 3. THEORY OF MIND AND EMOTIONAL DIFFICULTIES IN CHILDREN93
3.1. Introduction: Theory of Mind point of view on children psychological problems95
3.1.1. Theory of Mind and externalizing problems96
3.1.2. Theory of Mind and internalizing problems
3.2. Study 1: Theory of Mind and psychological risk
3.2.1. Method
3.2.2. Results
3.2.3. Discussion
3.3. Study 2: Theory of Mind and somatic complaints
3.3.1. Method
3.3.2. Results
3.3.3. Discussion
3.4. Conclusion: Theory of Mind and emotion in children with frequent somatic complaints.122
3.4.1. A new line of research: ToM and emotion regulation
Conclusion
REFERENCES
APPENDIX

FIGURES

Figure 2.1. Example of male eyes of the Eyes Test – child version	44
Figure 2.2. Example of item of the emotion recognition in the face task	48
Charts	
Chart 2.1. Guilford's difficulty indexes	60
Chart 2.2. The normal distribution of the Voice Test total score	62
Chart 2.3. The Voice Test score increments with age	62
Chart 2.4. Age influence on the Voice Test performance	71
Chart 2.5. Correlation between the Voice Test and the Eyes Test	72
Chart 2.6. Correlation between the 2nd order False Belief task and the Voice Test	73
Chart 2.7. Correlation between the Voice Test and the PPVT – R	73
Chart 2.8. Voice Test total score distribution	78
Chart 2.9. Gender differences in the Voice Test	80
Chart 2.10. Age differences in the Voice Test	80
Chart 2.11. Correlation between the Voice Test and the PPVT – R	89
Chart 2.12. Correlation between the Voice Test and the RCPM	89
Chart 3.1. Correlations between the Voice Test and the risk of depression	107
Chart 3.2. Correlations between the Voice Test and the risk of somatization	108
Chart 3.3. Gender and age differences in the Voice Test performance	119
TABLES	
Table 2.1. Guilford's difficulty index for each item	60
Table 2.2. Total error score and total score of the Voice Test	61
Table 2.3. Means and standard deviations	70
Table 2.4. Correlations	72
Table 2.5. Mean and standard deviation of the Voice Test total score in each group of ag	ge79
Table 2.6. Post hoc analysis	81
Table 2.7. Age 7 years (78-89 months): z-scores	82
Table 2.8. Age 8 years (90-101 months): z-scores	82
Table 2.9. Age 9 years (102-113 months): ₹-scores	83
Table 2.10. Age 10 years (114-125 months): z-scores	83
Table 2.11. Age 11 years (126-137 months): z-scores	
Table 2.12. Descriptive statistics of the Voice Test in the test and re-test conditions	

Table 2.13. Descriptive statistics in the test and re-test conditions for each group of age88	
Table 3.1. Means and standard deviations	
Table 3.2. Bivariate correlations between ToM and psychosocial risk	
Table 3.3. Means and standard deviations	

Abstract

Theory of Mind (ToM) is the ability to impute mental states – intentions, beliefs, thoughts, desires, emotions and other psychological states – to the self e to the others as a way of making sense and predicting behavior. Recently ToM researchers has been adopted a life span perspective - that leads to the construction of new instruments to assess ToM - and studied individual differences in ToM, including emotion understanding and the relationship between ToM and socio-emotional functioning. The present work is aimed to analyze deeper the development of mental states (both epistemic and emotional) understanding in children and its link with emotional difficulties, dealing with some novel topics within ToM studies, regarding ToM assessment in school age children with a new advanced task and the relationship between ToM and internalizing problems, characterized by emotional problems (while traditionally ToM researches study preschool children, using classical false belief tasks, and the link between ToM and externalizing behaviors). The first chapter reviews ToM studies: the main theoretical approaches; the link of ToM with the social and emotional functioning; the development of ToM; the methodological issue, concerning the assessment of epistemic and emotional states, in ToM studies. The second chapter presents the Voice Test, a new advanced ToM instrument, and its validation and standardization on an Italian school age children sample aged 6,5-11,4 years; the test assesses the ability to understand a wide range of complex mental states from vocal cues. Finally, in the third chapter it is studied the relationship between ToM and psychological risk in children and, in particular, it points out the link between poor ToM and frequent somatic complaints in a normal school age children population.

Acknowledgments

There are a number of people who I would like to thank for their guidance, encouragement and invaluable support. First I wish to express my sincerest gratitude to my PhD advisor, professor Olga Liverta Sempio, for everything she has taught me, for all her precious suggestions, her generosity in sharing her passion and knowledge in developmental research with me and for supporting all the time and encouraging me to achieve my potential. I am very grateful to professor Antonella Marchetti: she contributed to this project in numerous ways and I greatly appreciated her interest and suggestions on the design of this PhD project and her interesting comments on the topics of this thesis; I also thank her for supporting me throughout this work.

I would like to thank professor Rosa Angela Fabio: she was always helpful with the statistical analyses and research design and assisted me with every question I had.

I would like to thank my colleagues and friends, Annalisa, Barbara, Barbara and Eleonora, that providing moral support whenever needed, and all the members of the Theory of Mind Research Unit: they all making me this project an enjoyable experience. Throughout my PhD years I have been continually helped by my family: their love and support has been essential. I have no words to thank my parents for all they do for me; they enable me to follow all my inclinations, in the various fields of my life.

I wish to thank Virgilio, for his love and presence, his precious suggestions and for sharing with me satisfactions and trouble of my work: he always encourage me to do my best.

Finally, I would like to thank all the children that participated in this project and their families, their teachers and school administrations, for their generosity and cooperation, making these PhD researches possible.

Introduction

The researches and reflections I present in this work, carried out during the three years of my PhD, are developed within the field of study of Theory of Mind (ToM). ToM is the ability to attribute mental states, such as beliefs, intentions, desires, pretending, knowledge, to oneself and others; it enables one to understand that mental states can be the cause of behavior and thus it can be used to explain and foresee actions (Premack & Woodruff, 1978).

For a long time ToM researchers focalized only on preschool children, on their comprehension of epistemic mental states (i.e., false belief) (Wimmer & Perner, 1983). Thanks to the studies conducted on this matter, it was possible to point out normative data and to create theoretical models on the development of mental state understanding during the preschool years (as I review in the first chapter).

In these last years researchers have subscribed to a broader definition of ToM (Bruner & Feldman, 1993; Hughes & Leekam, 2004), that includes a wide range of mental states, not only epistemic but also motivational and emotional states (Astington, 2001). Moreover recently researchers have adopted a life span perspective (Freeman, 2000; Khun, 2000), that leads for example to the construction of new instruments to assess ToM or its precursor from infancy to old age, even if it is hard to create ecological tasks that can assess the complexity of the mental states understanding.

Researchers are also interested in individual differences in ToM (Repacholi & Slaughter, 2003), not only in children with severe psychopathologies (i.e. autism spectrum disorders; Baron-Cohen, 1995), but also in typical children and children with various psychological problems. For example they studied this ability – understood also as a

mentalising style (Sharp, Croudace & Goodyer, 2007) – in children with externalizing behaviors (Liverta Sempio, 2002; Sharp, 2006). In fact ToM is supposed to be link to the social functioning (Moore & Frye, 1991; Astington, 2003), because people act on the basis of their mental representation of the world.

This thesis has the aim to analyze deeper and go forward in these new directions within ToM studies.

In particular it is focalized on typical school age children, that received not much attention, because of – I suppose – the difficulty to find tests that are able to assess the growing ability to understand complex epistemic and emotional mental states. In fact there are few advanced ToM instruments suitable for older children (Happè, 1994; Baron-Cohen et al., 2001b). Moreover these tasks use narrative or visual stimuli, that are very important in everyday life, but do not consider vocal cues that usually and often automatically we use during social relationship to grasp others' mental states. Finally, thinking to the Italian population, there are not ToM tests standardized for school age children.

In order to contribute to fill these methodological gaps it was created, validated and standardized a new advanced ToM task based on vocal stimuli for Italian school age children: the *Voice Test.* It assesses the understanding not only of complex epistemic mental states, but also of complex emotions, as explained in the second chapter.

Another new direction follows here, that contributes to study in depth ToM individual differences, regards the hypothesis that ToM is linked to the emotional functioning. Thus this work begins to investigate this matter, studying if children with emotional difficulties (i.e. internalizing problems, for example depression, anxiety and somatization) are impaired in mental states understanding. Because of the importance of the early mental health problems identification and consequently the early

psychological intervention, it can be important to study ToM development not only in children with proclaimed psychopathologies, but also in the normal children population, where some individuals could be at risk to develop psychological diseases. So the two researches presented in the third chapter investigated the link between ToM and emotional difficulties in a normal school age sample.

I conclude this brief introduction with the awareness that much work remain to be done, but also with the satisfaction to have contributed, theoretically, methodologically and empirically, to the investigation of ToM development and its link with emotional aspects and also to have begun to analyze the real-world consequences of children ToM (Astington, 2001) not only regarding the social functioning but also the emotional one, with attention paid to their understanding of both epistemic and emotional states.