

1 **Association of polypharmacy with one-year trajectories of cognitive and**  
2 **physical function in nursing home residents: results from a multicentre**  
3 **European study**

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31 **Abstract**

32 *Objectives:* To test the association between polypharmacy and 1-year change in physical and cognitive  
33 function among nursing home (NH) residents.

34 *Design:* Longitudinal multicentre cohort study based on data from the Services and Health for Elderly  
35 in Long TERM care (SHELTER) study.

36 *Setting:* NH in Europe (n=50) and Israel (n=7).

37 *Participants:* 3234 NH older residents.

38 *Measurements:* Participants were assessed through the interRAI long-term care facility (LTCF)  
39 instrument. Polypharmacy was defined as the concurrent use of 5-9 drugs and excessive polypharmacy  
40 as the use of  $\geq 10$  drugs. Cognitive function was assessed through the Cognitive Performance Scale  
41 (CPS). Functional status was evaluated through the Activities of Daily Living (ADL) Hierarchy scale.  
42 The change in CPS and ADL score, based on repeated assessments, was the outcome and their  
43 association with polypharmacy was modelled via linear mixed models. The interaction between  
44 polypharmacy and time was reported (beta and 95% confidence intervals [95%CI]).

45 *Results:* 1630 (50%) residents were on polypharmacy and 781 (24%) on excessive polypharmacy.

46 After adjusting for potential confounders, residents on polypharmacy (beta 0.10; 95%CI 0.01-0.20)  
47 and those on excessive polypharmacy (beta 0.13; 95%CI 0.01-0.24) had a significantly higher decline  
48 in CPS score as compared with those using  $< 5$  drugs. No significant change according to  
49 polypharmacy status was shown for ADL score.

50 *Conclusions:* Polypharmacy is highly prevalent among older NH residents and, over one year, is  
51 associated to worsening cognitive function, but not with functional decline.

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## 57 **Introduction**

58 In Western Countries, 1.5% to 8% of older adults live in nursing homes (NH) (1). This population  
59 presents with an high prevalence of multimorbidity, geriatric syndromes, frailty and disability (2). The  
60 clinical complexity of these individuals, and their management, is further complicated by the use of  
61 multiple drugs, a condition known as polypharmacy (3).

62 Polypharmacy is frequent in NH residents, with prevalence ranging between 13% and 93% (4), and it  
63 exposes NH residents to an increased risk of iatrogenic events, unplanned hospitalizations and  
64 geriatric syndromes (e.g. delirium) (5,6). In cross-sectional studies, a high pharmacological burden has  
65 been associated with cognitive and physical function, two of the most important health indicators in  
66 older adults (7,8).

67 The relationship between polypharmacy and negative health outcomes may be bidirectional. On one  
68 hand, multiple chronic conditions and syndromes call for complex pharmacological regimens (9). On  
69 the other hand, polypharmacy increases the risk of negative drug interactions and adverse drug events,  
70 leading to the development of negative health outcomes (10). Therefore, to better explore the  
71 hypothesis of a relationship between polypharmacy and negative health outcomes, longitudinal studies  
72 are needed. The aim of the present study was to investigate the association between polypharmacy and  
73 1-year change in physical and cognitive function in NH residents.

## 74 **Methods**

75 ***Study population.*** This is a multicentre cohort study based on data from the Services and Health for  
76 Elderly in Long Term care (SHELTER) study. SHELTER includes information on 4156 NH residents  
77 from 50 European facilities (10 in Czech Republic, 9 in England, 4 in Finland, 4 in France, 9 in  
78 Germany, 10 in Italy and 4 in Netherlands) and from 7 facilities in Israel. All the NH residents  
79 admitted to the participant facilities before the beginning of the study were included. Also, those  
80 admitted within the three following months entered the study. From the initial sample, we excluded  
81 808 participants because they had less than 2 time-point evaluations (including baseline) and 114  
82 participants because data on drug use were missing, leaving a study population of 3234 NH residents.

83 All participants were evaluated through the InterRAI-LTCF assessment tool at time zero and after  
84 three, six and twelve months. Participants were followed-up until either the end of the study, their  
85 drop-out from the study or death. Completeness in drafting the current short report was assessed via  
86 the STROBE checklist. The research protocol was approved by the relevant institutional review boards  
87 and written consent was obtained from all participants.

88 ***Drug use and polypharmacy.*** As part of the InterRAI LTCF assessment, information on all the drugs  
89 the participants had been taking in the 3 days prior to the evaluation was collected. Drug data was  
90 taken from different information sources, including physician order sheets and drug administration  
91 records. Drug information was collected according to the Anatomical Therapeutic and Chemical  
92 codes. Drugs with no ingredients that are absorbed systemically (e.g. topical treatments) and rescue  
93 drugs and assumed in the 3 days prior to the assessment were also recorded. Polypharmacy was  
94 defined as the concurrent use of 5-9 drugs and excessive polypharmacy as the use of  $\geq 10$  drugs.

95 ***Cognitive and physical function.*** Cognitive function was assessed through the Cognitive Performance  
96 Scale (CPS), included in the InterRAI LTCF. CPS combines information on memory impairment,  
97 level of consciousness and executive function, with scores ranging from 0 (intact) to 6 (very severe  
98 impairment) and has been shown to be highly correlated with the Mini Mental State Examination  
99 (MMSE) in a number of validation studies (11). To evaluate functional status, the seven-point MDS  
100 Activities of Daily Living (ADL) Hierarchy scale was used (12). The ADL Hierarchy scale ranges  
101 from 0 (no impairment) to 6 (total dependence). Changes in CPS and ADL Hierarchy scale scores,  
102 based on repeated assessments, were considered the outcomes of the present study.

103 ***Covariates.*** Information on participants' sex and age at baseline was retrieved from the InterRAI  
104 LTCF questionnaire. Information on the following chronic conditions has been also collected: heart  
105 failure, ischemic heart disease, Parkinson's disease, stroke, diabetes, cancer, and dementia. Pain was  
106 defined as any pain of moderate or severe intensity presented during the last three days. Dyspnoea was  
107 defined as the presence of shortness of breath during the last three days. Depressive symptoms were  
108 considered as present for Depression Rating Scale (DRS) scores  $>2$  (range 0-14).

109 **Statistical approach.** The association between polypharmacy and cognitive and physical function was  
110 tested through multilevel mixed-effect linear regression models, considering the clustering of the  
111 observations within participants and within facilities, and adjusting for potential confounders. The beta  
112 coefficients and 95% confidence intervals (95%CI) for the interaction between polypharmacy and time  
113 (factor\*time) were reported. Sensitivity analyses were run excluding participants dying and dropping-  
114 out during the follow-up. Stata 14 (Stata Corp) for Windows was used for all the analyses.

## 115 **Results**

116 At baseline, the mean age of the sample was 83.4 years, with no significant difference by  
117 polypharmacy status. Overall, 1630 (50%) were taking 5-9 drugs and 781 (24%) were taking  $\geq 10$   
118 drugs. Median follow-up period was 0.95 years [IQR 0.75-1.1]. Among participants, 493 (15%) died  
119 during the follow-up and 172 (7%) were transferred to another institution, hospitalized or discharged  
120 to home. The characteristics of participating residents according to their polypharmacy status are  
121 presented in **table 1**. At the univariate analysis, severity of cognitive impairment and functional  
122 impairment were inversely associated with polypharmacy status, with residents in the  $< 5$  drugs group  
123 having the highest level of cognitive and functional impairment. At the same time, prevalence of  
124 ischemic heart disease, heart failure, stroke, Parkinson's disease, dementia, diabetes, cancer and  
125 symptoms such as pain, dyspnoea and depressive symptoms, progressively increased with  
126 polypharmacy status. **Table 2** shows the association between polypharmacy and cognitive (CPS scale)  
127 and physical (ADL scale score) function. After adjusting for potential confounders, residents using 5-9  
128 drugs (beta coefficient 0.10; 95%CI 0.01-0.20) and those using  $\geq 10$  drugs (beta coefficient 0.13;  
129 95%CI 0.01-0.24) had a significantly higher decline in CPS score as compared with those using  $< 5$   
130 drugs. No significant change according to polypharmacy status was shown in ADLs. Similar results  
131 were obtained after excluding those dying or dropping out during follow-up (data not shown).

## 132 **Discussion**

133 According to this multicentre prospective study, polypharmacy is associated with worse trajectories of  
134 cognitive decline – but not functional decline – over one year, in older NH residents. To the best of

135 our knowledge, this is the first study showing a longitudinal association between polypharmacy and  
136 cognitive decline in such population.

137 Several cross-sectional studies have investigated the association between polypharmacy and cognitive  
138 impairment, though contrasting. For example, a Japanese study involving 1152 community-dwelling  
139 participants showed that those on polypharmacy presented 80% increased likelihood to have a worse  
140 global cognitive performance, as measured through the MMSE, than those not on polypharmacy (13).  
141 On the other hand, in the SHELTER population, our group previously described an inverse cross-  
142 sectional association between polypharmacy and cognitive impairment (14). Such results do not allow  
143 any strong speculation on the nature of the association, and confounding by indication bias may  
144 explain the reported negative association.

145 In the present study, we found a selective, longitudinal, and dose-response association between the use  
146 of multiple drugs and cognitive impairment. Several potential mechanisms may explain our results.  
147 First, several drugs frequently prescribed in institutionalized older people present anticholinergic  
148 properties (e.g. antipsychotics, antiulcer drugs etc.). The negative impact of anticholinergics on  
149 cognitive function has been consistently demonstrated in the literature (15,16). The use of these drug  
150 classes is considered a potential modifiable risk factor for cognitive impairment and dementia in older  
151 adults. Second, use of psychotropic drugs is a well-known risk factor for cognitive decline and  
152 dementia. A terrific prevalence of psychotropic drugs has been described in older adults living in NH:  
153 as previously reported, in the SHELTER population 36% of participants were on benzodiazepines,  
154 36% on antidepressants, 26% on antipsychotics, and 34% used analgesics that may contain opioids  
155 (14). Third, polypharmacy may be considered an indicator of clinical complexity, reflecting the high  
156 number of underlying concurrent chronic diseases and symptoms, and can be considered as a proxy of  
157 disease severity. The potential impact of somatic diseases on cognitive function has been repeatedly  
158 suggested throughout literature and the found association between polypharmacy and cognitive  
159 decline may reflect such body-mind relationship (17). In our analyses we accounted for several  
160 concurrent diseases and conditions, however, we are not able to fully discard the hypothesis of  
161 residual confounding.

162 Some limitations of the present study should be mentioned. First, polypharmacy was assessed based  
163 on drugs used at the baseline assessment and we did not take into consideration changes in drug  
164 regimens occurring during the study period and this may have affected the strength of the described  
165 association. Second, although the InterRAI LTCF is a standardized, comprehensive assessment  
166 instrument, the recording of drug data is not its specific focus. In particular, only drugs prescribed in  
167 the three days prior to the assessment were recorded in the present study. This could have determined  
168 an underestimation of polypharmacy as several drugs may be assumed weekly or even more rarely.  
169 Finally, as above mentioned, despite adjusting the analyses for several potential confounders, we  
170 cannot exclude that the association between cognitive decline and polypharmacy is due to residual  
171 confounding.

## 172 **Conclusions**

173 The present study shows that polypharmacy is highly prevalent among older NH residents and is  
174 associated to a worsening in cognitive performance, but not with functional decline, over one year.  
175 Further studies are needed to assess the nature of the described relationship and to identify the best  
176 strategies to optimize pharmacological treatment in frail older people.

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191 **CONTRIBUTORSHIP**

192 Conception of the work: DLV, ERV, GO. Data analysis: DLV, GO. Results interpretation: all the co-  
193 authors. Drafting the article: DLV, ERV. Critical revision of the manuscript: all the co-authors. Final  
194 approval of the manuscript: all the co-authors. All the authors fulfil the ICMJE criteria for authorship.  
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196 **DECLARATION OF INTERESTS**

197 The authors declare no financial relationships with any organisations that might have an interest in the  
198 submitted work in the previous three years, no other relationships or activities that could appear to  
199 have influenced the submitted work.  
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208 preparation, or in the decision to submit the article for publication.  
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277 **Table 1.** Sample characteristics at baseline by polypharmacy status.

	<b>Overall N = 3234</b>	<b>&lt;5 drugs N = 823</b>	<b>5-9 drugs N = 1630</b>	<b>≥10 drugs N =781</b>	<b>p</b>
<b><i>Demographics</i></b>					
Age (years)	83.4±9.2	83.0±10.5	83.7±8.8	83.2±8.6	0.183
Sex (F)	2388 (74)	599 (73)	1218 (75)	571 (73)	0.509
<b><i>Functional assessment</i></b>					
CPS scale (continuous)	2.8±2.0	3.1±2.1	2.8±2.0	2.3±1.9	<0.001
Borderline impairment (1-2)	1012 (31)	230 (28)	499 (31)	283 (36)	<0.001
Mild/moderate impairment (3-4)	1250 (39)	275 (34)	627 (39)	348 (45)	
Severe impairment (5-6)	957 (30)	309 (38)	500 (31)	148 (19)	
ADL scale (continuous)	3.3±1.9	3.5±2.0	3.3±1.9	3.1±1.9	<0.001
Mild disability (1-2)	639 (20)	159 (19)	309 (19)	171 (22)	<0.001
Moderate disability (3-4)	1384 (43)	317 (39)	703 (43)	364 (47)	
Severe disability(5-6)	1206 (37)	346 (42)	614 (38)	246 (32)	
<b><i>Clinical assessment</i></b>					
Ischemic heart disease	908 (28)	144 (18)	481 (30)	283 (37)	<0.001
Dementia	1729 (53)	492 (60)	894 (55)	343 (44)	<0.001
Heart failure	542 (17)	67 (8)	292 (18)	183 (24)	<0.001
Parkinson's disease	228 (7)	40 (5)	109 (7)	79 (10)	<0.001
Stroke	718 (22)	145 (18)	369 (23)	204 (26)	<0.001
Diabetes	701 (22)	120 (15)	350 (22)	231 (30)	<0.001
Cancer	326 (10)	55 (7)	172 (10)	99 (13)	<0.001
Pain	668 (21)	81 (10)	323 (20)	264 (34)	<0.001
Dyspnea	153 (5)	22 (3)	71 (4)	60 (8)	<0.001
Depressive symptoms	1035 (32)	194 (24)	517 (32)	324 (42)	<0.001

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279 Measures are reported as mean ± standard deviation or count and percentage (%)

280 CPS= cognitive performance scale; ADL=activities of daily living

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294 **Table 2.** Association between polypharmacy and cognitive (CPS scale) and physical (ADL) function.

	<b>Model 1 Betacoeff.<sup>‡</sup> for factor*time (95% CI)</b>	<b>Model 2 Betacoeff.<sup>‡</sup> for factor*time (95% CI)</b>
<b>CPS change</b>		
<5 drugs	<i>Ref.</i>	<i>Ref.</i>
5-9 drugs	0.09 (0.01; 0.20)	0.10 (0.01; 0.20)
≥10 drugs	0.13 (0.02; 0.25)	0.13 (0.01; 0.24)
<b>ADL change</b>		
<5 drugs	<i>Ref.</i>	<i>Ref.</i>
5-9 drugs	0.08 (-0.01; 0.17)	0.07 (-0.02; 0.16)
≥10 drugs	0.08 (-0.03; 0.18)	0.07 (-0.03; 0.18)

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296 <sup>‡</sup>Beta coefficients can be interpreted as the change in the CPS and ADL scores over 1 year.

297 Model 1 adjusted for age, sex and facility

298 Model 2 adjusted for age, sex, heart failure, ischemic heart disease, Parkinson's disease, stroke, diabetes, cancer,

299 dyspnoea, dementia, pain, depressive symptoms and facility.

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